Patent

Attorney Docket No. 1026350-000100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Application No.: 10/581,861

Katsura Izui et al.

Filed: June 5, 2006

For:

METHOD TO CONFER FORMALDEHYDE-

RESISTANCE TO A PLANT, AND A METHOD

TO HAVE A PLANT TO ABSORB **ENVIRONMENTAL FOMALDEHYDE** MAIL STOP PCT

ATTN: PCT Legal Office

Group Art Unit: 1638

Examiner: Unassigned

Confirmation No.: 4633

PETITION TO CORRECT NOTICE OF ACCEPTANCE PCT/DO/EO/903 AND OFFICIAL FILING RECEIPT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Correction of the filing date for the above-identified patent application is respectfully requested.

The application was filed on June 5, 2006, without the executed application documents. On Monday, August 7, 2006 (i.e., two months from the filing of the application), the executed Declaration was filed even though the Notice of Missing Requirements had not yet been received. The Notice of Missing Requirements was finally received on February 20, 2008. On March 3, 2008 a Response to Notice of Missing Requirements was filed with copies of all the documents that were originally filed on August 7, 2006. Attached hereto are photocopies of the Response filed on March 3, 2008, and the documents appended thereto including applicants' representatives' postcard receipt, Submission of Original Executed Declaration with executed Declaration and the Credit Card Payment Form filed on August 7, 2006.

It is believed that the U.S. Patent and Trademark Office inadvertently considered the Response filed on March 3, 2008, to be the first submission of the executed Declaration rather than a copy of the documents originally filed on August 7, 2006. Accordingly, it is

Petition to Correct Notice of Acceptance PCT/DO/EO/903 and Official Filing Receipt Application No. 10/581,861

Attorney Docket No. 1026350-000100

Page 2

respectfully requested that the above-identified patent application be issued the August 7,

2006 filing date. Enclosed is a copy of the Notice of Acceptance and Official Filing Receipt

marked to show the correction that is needed.

This Petition is being filed in accordance with a telephone conversation on August 6,

2008, with a Customer Service Representative for the PCT Help Desk. During this

conversation, it was noted that the original filing on August 7, 2006, had not been entered

into the File Wrapper of the above-identified patent application.

The U.S. Patent and Trademark Office is requested to telephone the undersigned

should they have any questions regarding this submission.

Respectfully submitted,

BUCHANAN INGERSOLL & ROONEY PC

Robert G. Mukai

Registration No. 28531

P.O. Box 1404 Alexandria, VA 22313-1404 703 836 6620

Date: August 7, 2008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	MAIL STOP PCT
Katsura Izui et al.	Group Art Unit: 1638
Application No.: 10/581,861	Examiner: Unassigned
Filed: June 5, 2006	Confirmation No.: 4633
For: METHOD TO CONFER FORMALDEHYDE-) RESISTANCE TO A PLANT, AND A METHOD) TO HAVE A PLANT TO ABSORB ENVIRONMENTAL FOMALDEHYDE	

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE U.S. DESIGNATED/ELECTED OFFICE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notification of Missing Requirements dated February 20, 2008 (copy attached), applicants' representatives filed all the requested documents with the U.S. Patent and Trademark Office on August 7, 2006.

Attached hereto are photocopies of applicants' representatives' postcard receipt, Submission of Original Executed Declaration with executed Declaration and the Credit Card Payment Form.

The USPTO is requested to telephone the undersigned should they have any questions regarding this submission.

Respectfully submitted,

BUCHANAN INGERSOLL & ROONEY PC

Date: March 3, 2008

By: 1 Color 1 | Mulau

Robert G. Mukai

Registration No. 28531

P.O. Box 1404 Alexandria, VA 22313-1404 703 836 6620





United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Addres COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 12313-1430 www.usplo.gov

ATTY DOCKET NO FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO

10/581,861

Katsura lzui

1026350-000100

21839 BUCHANAN, INGERSOLL & ROONEY PC POST OFFICE BOX 1404 **ALEXANDRIA, VA 22313-1404**

INTERNATIONAL APPLICATION NO. PCT/JP04/18665 I.A. FILING DATE PRIORITY DATE 12/03/2004

> **CONFIRMATION NO. 4633 371 FORMALITIES LETTER**



Date Mailed: 02/20/2008

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- Indication of Small Entity Status
- Copy of the International Application filed on 06/05/2006
- English Translation of the IA filed on 06/05/2006
- Copy of the International Search Report filed on 06/05/2006
- Preliminary Amendments filed on 06/05/2006
- U.S. Basic National Fees filed on 06/05/2006
- Priority Documents filed on 06/05/2006

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

· Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html



For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

SHELBY J VIGIL	
Telephone: (703) 308-9140 EXT 224	

Patent Response Postcard

Inventor:	Katsura Izui et al.		Appln. No.:	10/581,861	F	Filing Date:	June 5, 2006
Docket No.:	1026350-000100 We	orking Atty.	: RGM/spd				August 7, 2006
Title:	METHOD TO CONFER F ABSORB ENVIRONMEN	ORMALDE	YDE-RESISTAN	ICE TO A PLANT	, AND	A METHOD	TO HAVE A PLANT TO
Dkt. Clerk Initials	The following was/were			t and Trademark	Office	e on the dat	e stamped hereon:
Petition for	dment y Transmittal Letter Month Extension of mal Drawings sheet(s) of drawings (Fig(s) 1- oval of Drawing Changes w/ sheet(s) of red ink drawings Hearing triction Requirement or Election of	Transmi Execute Assignn Sheet (f Submiss w/	Ital Letter for Missing Policy Declaration/Power of Ment/Assignment Record PTO-1595) sion of Certified Copy of Certified control Disclosure Statements and Disclosure Stateme	arts of Application Attorney dation Form Cover f Priority Document py(ies) ent Transmittal (PTO-1449) ent s) ation		Submission of C Payment of Issue Deposit Account Request for Ref Supplemental A Substitute Spec Charge \$ Charge \$65.00 attached Filed by Certific	und pplication Data Sheet ification (clean/marked up coples to Deposit Account to credit card. Form PTO-2038 is

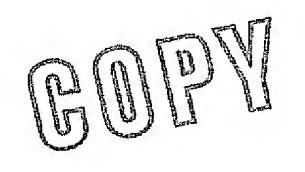


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Pa	atent A	Application of)	Group Art Unit:	
Katsura	a Izui e	et al.	<i>)</i>)	Examiner:	
Applica	ation N	lo.: 10/581,861))	Confirmation No.: 463	3
Filing E	Date: .	June 5, 2006))		
Title:	FORM A PLA A PLA	HOD TO CONFERMALDEHYDE-RESISTANCE TO ANT, AND A METHOD TO HAVE ANT TO ABSORB RONMENTAL FOMALDEHYDE)))		
	7	TRANSMITTAL LETTER FOR MISS	INC	S PARTS OF APPLICA	TION
Comm P.O. B	ission lox 14	MISSING PARTS er for Patents 50 VA 22313-1450			
Sir:					
In com	nplete F.R. §	response to the Notice to File Missing 1.53(b) dated <u>NOT YET RECEIVED</u>	g F	Parts of Application filed, enclosed please find	Under :
\boxtimes	a Co	mbined Declaration and Power of Att	ОГГ	ney signed by the invent	tor(s);
		Note that the inventor identified on to and Power of Attorney is different the < <or>>> Note that the inventors identified on the Declaration and Power of Attorney appers.</or>	nan enti	listed on the application fied on the currently file	n filing papers. d Combined
	an A	pplication Data Sheet < <or>> a Su</or>	pр	lemental Application Da	ta Sheet;
\boxtimes	the s	surcharge of 🛛 \$ 65 🔲 \$ 130 as	SS	et forth in 37 C.F.R. § 1	.16(e);
	a Re	equest for Refund;			
	a Pe	etition for Extension of Time;			
		rified English translation of the applic C.F.R. § 1.17(k);	ati	on, and the 130 fee as s	set forth in
	drav	wings for publication;			
	an l	nformation Disclosure Statement;			RMP!

Transmittal Letter Missing Parts of Application
Application No... 10/581,861
Attorney Docket No. 1026350-000100
Page 2

	a certified copy of the priority documents;	docur	nent < <or>> certified copies of the priority</or>	
	copy of Notice to File Missing	g Parts	of Nonprovisional Application; and	
	Small entity status is hereby	claime	ed.	
	Chargeto	Depos	sit Account No. 02-4800 for the fee due.	
	A check in the amount of		is enclosed for the fee due.	
\boxtimes	Charge <u>\$ 65.00</u> to credit card	for th	e fee due. Form PTO-2038 is attached.	
	The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credi any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.			
		Resp	ectfully submitted,	
		Buch	IANAN INGERSOLL & ROONEY PC	
Date	August 7, 2006	Ву:	Robert G. Mukai Registration No. 28531	
POI	Box 1404			



Alexandria, VA 22313-1404

703 836 6620

COMBINED DECLARATION AND POWER OF ATTORNEY FOR UTILITY OR DESIGN PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: METHOD TO CONFER FORMALDEHYDE-RESISTANCE

TO A PLANT, AND A METHOD TO HAVE A PLANT ABSORB ENVIRONMENTAL

FORMALDEHYDE

the specification of	which (check	only one it	em below):
----------------------	--------------	-------------	------------

	is attached hereto.
	was filed as United States Patent application Number on and was amended on (if applicable).
\boxtimes	was filed as PCT International application Number PCT/JP2004/018665 on

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §§ 119 (a)-(d), 172 or 365(a) of any foreign application(s) for patent or inventor's certificate or of any international (PCT) application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international (PCT) application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

RIOR FORFIGN/PCT APPI ICATION COUNTRY		DATE OF FILING	PRIORITY C UNDER 35	CLAIME 5 U.S.C.
(if PCT, Indicate "PCT")	APPLICATION NUMBER	(MM/DD/YYYY)	§§119, 172 (OR 365
	2003-407,508	12.05.2003	⊠ Yes	N
Japan			□ Yes	ПИ
			☐ Yes	Пи
			☐ Yes	Пи
			□Yes	ПМ
			☐ Yes	ПИ
			ПYes	Пи
			T Yes	N



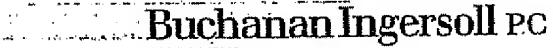
Combined Declaration and Power of Attorney
For Utility or Design Patent Application
Attorney Docket No. 1026350-00010
Page 2 of 3

I hereby appoint the attorneys and agents associated with the following PTO Customer Number of Buchanan Ingersoll PC (including attorneys from Burns, Doane, Swecker & Mathis) to prosecute said application and to transact all business in the Patent and Trademark Office connected therewith and to file, prosecute and transact all business in connection with international applications directed to said invention:

Customer Number 21839

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

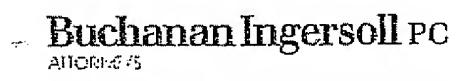
FULL NAME OF SOLE OR FIRST INVENTOR	Katsura IZUI
Signature	Katsura Do
Date	Xatsura O.5. 2006/07/05
Residence (City, State, Country)	Kyoto-shi, Kyoto Japan
Citizenship	Japanese
Mailing Address	c/o GRADUATE SCHOOL OF BIOSTUDIES, KYOTO UNIVERSITY, Kitashirakawa-oiwakecho, Sakyo-ku,
City, State, ZiP, Country	Kyoto-shi, Kyoto 606-8502 Japan
FULL NAME SECOND INVENTOR, IF ANY	Limel CHEN
Signature	Limei Chen
Date	2006/6/7
Residence (City, State, Country)	Kyoto-shi, Kyoto Japan
Citizenship	Chinese
Mailing Address	c/o GRADUATE SCHOOL OF BIOSTUDIES, KYOTO UNIVERSITY, Kitashirakawa-oiwakecho, Sakyo-ku,
City, State, ZIP, Country	Kyoto-shi, Kyoto 606-8502 Japan
FULL NAME OF THIRD INVENTOR, IF ANY	Nobuo KATO
Signature	Nobus KAN
Date	2006/07/05
Residence (City, State, Country)	Kyoto-shi, Kyoto Japan
Citizenship	Japanese
	c/o GRADUATE SCHOOL OF AGRICULTURE, KYOTO UNIVERSITY, Kitashirakawa-oiwakecho,
Mailing Address	Sakγo-ku, Kyoto-shl, Kyoto 606-8502 Japan
City, State, ZIP, Country	ן האטנט-אווי האטנט טטט-טטטב אפיטפוי



ATTOMATYS

GORN

FULL NAME OF FOURTH INVENTOR, IF ANY	Yasuyoshi SAKAI
Signature	Yangahi Saka
Date	2006/07/05
Residence ¡City, State, Country)	Kyoto-shi, Kyoto Japan .
Citizenship	Japanese OF ACRICULTURE
Mailing Address	c/o GRADUATE SCHOOL OF AGRICULTURE, KYOTO UNIVERSITY, Kitashirakawa-oiwakecho, Sakyo-ku,
City, State, ZIP, Country	Kyoto-shi, Kyoto 606-8502 Japan
FULL NAME OF FIFTH INVENTOR, IF ANY	Hiroya YURIMOTO
Signature	Hiveya yvinneto
Date	2006/07/05
Residence (City, State, Country)	Kyoto-shi, Kyoto Japan
Citizenship	Japanese
Mailing Address	c/o GRADUATE SCHOOL OF AGRICULTURE, KYOTO UNIVERSITY, Kitashirakawa-oiwakecho, Sakyo-ku,
City, State, ZIP, Country	Kyoto-shi, Kyoto 606-8502 Japan
FULL NAME OF SIXTH INVENTOR, IF ANY	
Signature	
Date	
Residence (City, State, Country)	
Citizenship	
Mailing Address	
City, State, ZIP, Country	
FULL NAME OF SEVENTH INVENTOR, IF ANY	
Signature	
Date	
Residence (City, State, Country)	1 -
Citizenship	
Mailing Address	
City, State, ZIP, Country	



ing syth

Including attorney trees Burns Doone Sweeter & Mathie



Approver ___ use through 02/28/2006. OMB 0651-0043
United States Patent and Tradema _____ iffice; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB Control number.

United States Patent and Trademark Office

Credit Card Payment Form Please Read Instructions before Completing this Form

Gredit Card Information							
Credit Card Type:	Visa Master Card	American Express	Discover				
Credit Card Account # 3785 961669 11009							
Credit Card Expiration Da	Credit Card Expiration Date 09/09						
Name as it Appears on C	redit Card Docket Burns	Doane					
Payment Amount \$(US D	ollars) \$65.00						
Cardholder Signature	Cobert S. Mulia	Date 8/7/2006					
will not entitle a party to a refund of and will not notify the payor of suc account to which the fee was char	O service charge for processing each page	a fee paid by credit card will be is	sued as a credit to the credit card				
		ng Address					
Street Address P	2.O. Box 1404						
Street Address							
City A	Jexandria	-					
State/Province V	/A	Zip/Postal Co	de 22313-1404				
Country L	INITED STATES of AMERICA	Δ					
	03 836 6620	Fax # 703 83					
	- Request and Paym	ent Information ====					
	ayment Information: Missing Par						
□ Patent Fee □ Patent Fee	Patent Maintenance Fee	Trademark Fee	Other Fee				
Application No. 10/581,861	Application No.	Application No.	DON Customer No.				
Patent No.	Patent No.	Registration No					
Attorney Docket No. 1026350-000100		Identify of Describe Mark	La Cradit Card Payment				

If the cardholder includes a credit card number on any form or document other than the Credit Card Payment Form, the United States Patent and Trademark Office will not be liable in the event that the credit card number becomes public knowledge.

Buchanan Ingersoll & Rooney PC
Attorneys & Government Relations Professionals





United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Tradomark Office
Address COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Viginia 17313-1430
www.usplo.gov

US APPLICATION NUMBER NO FIRST NAMED APPLICANT ATTY DOCKET NO.

10/581,861 Katsura Izui 1026350-000100

21839 BUCHANAN, INGERSOLL & ROONEY PC POST OFFICE BOX 1404 ALEXANDRIA, VA 22313-1404 INTERNATIONAL APPLICATION NO.

PCT/JP2004/018665

1.A. FILING DATE PRIORITY DATE

12/03/2004 12/05/2003

CONFIRMATION NO. 4633 371 ACCEPTANCE LETTER



Date Mailed: 07/14/2008

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

03/03/2008

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS

03/03/2008

DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Indication of Small Entity Status
- Copy of the International Application filed on 06/05/2006
- English Translation of the IA filed on 06/05/2006
- Copy of the International Search Report filed on 06/05/2006
- Preliminary Amendments filed on 06/05/2006

- 12/07/2004

- U.S Basic National Fees filed on 06/05/2006
- Priority Documents filed on 06/05/2006

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

SHELBY J MILLER

Telephone: (703) 308-9140 EXT 224



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE
United States Potent and Trademark Office
Addres COMMISSIONER FOR PATENTS
PO Box 1430
Alexandria, Vogania 27313-1430
www.ssplo.gov

APPLICATION NUMBER

FILING or 371(c) DATE, GRP ART UNIT

FIL FEE REC'D

ATTY.DOCKET.NO

FOT CLAIMS IND CLAIMS

10/581,861

03/03/2008

1638

1080

1026350-000100

20 8 CONFIRMATION NO. 4633

BUCHANAN, INGERSOLL & ROONEY PC POST OFFICE BOX 1404 ALEXANDRIA, VA 22313-1404 **FILING RECEIPT**

Date Mailed: 07/14/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Katsura Izui, Kyoto, JAPAN; Limei Chen, Kyoto, JAPAN; Nobuo Kato, Kyoto, JAPAN; Yasuyoshi Sakai, Kyoto, JAPAN; Hiroya Yurimoto, Kyoto, JAPAN;

Assignment For Published Patent Application

kyoto university

Power of Attorney: The patent practitioners associated with Customer Number 21839

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP2004/018665 12/03/2004

Foreign Applications

JAPAN 2003-407508 12/05/2003

If Required, Foreign Filing License Granted: 07/09/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/581,861**

Projected Publication Date: 10/23/2008

Non-Publication Request: No Early Publication Request: No

** SMALL ENTITY **

page 1 of 3

Title

Method to Confer Formaldehyde-Resistance to a Plant, and a Method to Have a Plant Absorb Environmental Formaldehyde

Preliminary Class

800

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where

the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).